

# Transitivity and Minimality of Sets

Dana Mawlood Mohammed\*

Institute of Training and Educational Development, Sulaimani

\*Corresponding author: Danamath82@gmail.com

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**Abstract** In this paper, we have study some concepts of minimal open, closed sets and minimal functions. Further, we have shown that these properties preserved under conjugate maps.

**Keywords:** minimal open sets, minimal functions, transitivity

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## 1. Introduction

Let  $(X, \tau)$  be a compact topological space. All maps under consideration are supposed to be continuous. The set of all continuous maps  $f: X \rightarrow X$  is denoted by  $C(X)$ . By a system  $(X, f)$ , we mean a compact topological space (phase space)  $X$  and  $f \in C(X)$ . In a topological space a trajectory consists of a sequence of points  $(x, f(x), f^2(x), \dots)$ , and can possibly contain additional attributes  $a$  measured at each point. Trajectories can be generated by moving objects but also by moving phenomena, e.g. measurement points on a hill slide. The points can be captured at regular intervals or irregularly A point  $x \in X$  “moves,” its trajectory [1] being the sequence  $x, f(x), f^2(x), \dots$ , where  $f^n$  is the  $n$ th iteration of  $f$ . The point  $f^n(x)$  is the position of the point  $x$  after  $n$  units of time. The set of points of the trajectory of  $x$  under  $f$  is called the orbit of  $x$ , denoted by  $O_f(x)$ . A map  $f \in C(X)$  is (topologically) transitive if for any two nonempty open sets  $U$  and  $V$  in  $X$ , there is a nonnegative integer  $n$  such that  $f^n(U) \cap V \neq \emptyset$ . If  $X$  has no isolated points then this definition is equivalent to the existence of a dense orbit, i.e.  $Cl(O_f(x)) = X$ . If every orbit of  $f$  is dense, the map  $f$  is called minimal. Denote by  $T(X)$  the set of transitive self-maps of the space  $X$ . A minimal map  $f$  is necessarily surjective if  $X$  is assumed to be Hausdorff and compact.

Now, to study the existence of minimal sets, given a system  $(X, f)$ , a set  $A \subseteq X$  is called a *minimal set* if it is non-empty, closed and invariant and if no proper subset of  $A$  has these three properties. So,  $A \subseteq X$  is a minimal set if and only if  $(A, f|_A)$  is a minimal system. A system  $(X, f)$  is minimal if and only if  $X$  is a minimal set in

$(X, f)$ . The basic fact discovered by G. D. Birkhoff is that in any compact system  $(X, f)$  there are minimal sets. This follows immediately from the Zorn's lemma. Since any orbit closure is invariant, we get that *any compact orbit closure contains a minimal set*. This is how compact minimal sets may appear in non-compact spaces. Two minimal sets in  $(X, f)$  either are disjoint or coincide. A minimal set  $A$  is strongly  $f$ -invariant, i.e.  $f(A) = A$ . Provided it is compact Hausdorff

## 2. Preliminaries and Definitions

### Definition 2.1

1. (Minimal Hausdorff spaces) [3]

A topological space  $(X, \tau)$  is said to be minimal Hausdorff if  $\tau$  is Hausdorff and there exists no Hausdorff topology on  $X$  strictly weaker than  $\tau$ . Thus this minimality property is topological.

2. Two topological spaces  $(X, \tau)$  and  $(Y, \tau_1)$  are called homeomorphic [5] if there exists a one-to-one onto function  $f: (X, \tau) \rightarrow (Y, \tau_1)$  such that  $f$  and  $f^{-1}$  are both continuous.

3. Two topological systems  $f: X \rightarrow X$  and  $g: Y \rightarrow Y$  are said to be topologically conjugate if there is a homeomorphism  $h: X \rightarrow Y$  such that  $h \circ f = g \circ h$ . We will call  $h$  topological Conjugacy. Thus, the two topological systems with their respective function acting on them share the same dynamics (see the following diagram)

$$\begin{array}{ccc} X & \xrightarrow{f} & X \\ h \downarrow & & \downarrow h \\ Y & \xrightarrow{g} & Y \end{array}$$

**Definition 2.2** (minimal open set). Recall that a proper non empty open subset  $U$  of a topological space  $X$  is said

to be a minimal open set, if any open set which is contained in U is empty set or U.

**Definition 2.3** Recall that a proper non empty closed subset F of a topological space X is said to be a minimal closed set if any closed set which is contained in F is empty set or F.

**Definition 2.4**

A system  $(X, f)$  is called *minimal* if X does not contain any non-empty, proper, closed  $f$ -invariant subset. In such a case we also say that the map  $f$  itself is minimal. Thus, one cannot simplify the study of the dynamics of a minimal system by finding its non-trivial closed subsystems and studying first the dynamics restricted to them.

**Proposition 2.5**

Let  $f : X \rightarrow X$  be continuous function. The following are equivalent:

1.  $f$  is minimal.
2. The only closed invariant sets of X are X itself and the empty set.
3. For any non-empty open subset  $U \subset X$ , then

$$X = \bigcup_{k=0}^{\infty} f^{-k}(U).$$

**Proof:**

(1)  $\Rightarrow$  (2)

Suppose that  $C \subset X$  is a non-empty closed invariant set. Let  $x \in C$ . Then since C is invariant,  $O_f(x) \subset C$ . Since C is closed, so  $Cl(O_f(x)) \subset C$ , but  $X = Cl(O_f(x))$  since the orbit  $O_f(x)$  is dense, this means  $X \subset C$ . Thus  $X=C$ .

(2)  $\Rightarrow$  (3), let  $U \subset X$  be a non-empty open set. Put

$$C = X \setminus \bigcup_{k=0}^{\infty} f^{-k}(U)$$

then C is closed and invariant.

Since  $C \neq X$ , by (2) we must have  $C = \emptyset$ .

(3)  $\Rightarrow$  (1), let  $x \in X$  and let U be an arbitrary non-empty open subset. Then by (3),  $x \in f^{-k}(U)$  for some  $k \geq 0$ . Thus  $f^k(x) \in U$ , and hence  $O_f(x) \cap U \neq \emptyset$ . Since U was arbitrary,  $O_f(x)$  is dense, i.e.  $f$  is minimal.

**Definition 2.6** (minimal) Let X be a topological space

And  $f$  be continuous map on X. Then  $(X, f)$  is called minimal system (or  $f$  is called minimal map on X) if one of the three equivalent conditions hold:

- (1) The orbit of each point in X is dense in X
- (2)  $Cl(O_f(x)) = X$  for each  $x \in X$ .

(3) Given  $x \in X$  and a nonempty open U in X, there exists  $n \in \mathbb{N}$  such that  $f^n(x) \in U$ .

**Definition 2.7** A subset M of X is said to be minimal under provided that M is non-empty, closed and invariant, that is  $f(M) \subset M$ , and no proper subset of M has all these properties.

**Theorem 2.8** [4] Any two minimal sets must have empty intersection.

Proof: Let  $M_1$  and  $M_2$  be two distinct minimal sets, and suppose that  $A = M_1 \cap M_2 \neq \emptyset$ . Then A is closed, and fore very  $a \in A$  and every  $n \in \mathbb{N}$ ,  $f^n(a) \in M_1 \cap M_2$ , so A is invariant. But then A is a proper subset of both  $M_1$  and  $M_2$  which is closed, invariant and non-empty, contradicting the fact that  $M_1$  and  $M_2$  are minimal.

**Definition 2.9** Two topological systems  $f : X \rightarrow X$  and  $g : Y \rightarrow Y$  are said to be topologically conjugate if there is a homeomorphism  $h : X \rightarrow Y$  such that  $h \circ f = g \circ h$ . We will call h a topological Conjugacy.

We have stated a new proposition as follows:

**Proposition 2.10** if  $f : X \rightarrow X$  and  $g : Y \rightarrow Y$  are topologically conjugated by  $h : X \rightarrow Y$ . Then A is a minimal open set in X if and only if  $h(A)$  is a minimal open set in Y.

**Definition 2.11** Let X be a topological space, and  $f : X \rightarrow X$  a continuous map. We say f is (topologically) transitive if for any nonempty open sets  $U, V \subset X$  there exists  $n > 0$  such that  $V \cap f^n(U) \neq \emptyset$ . We say f is strongly transitive [3] if for any nonempty open set

$$U \subset X, X = \bigcup_{k=0}^s f^k(U)$$

for some  $s > 0$ . For more

knowledge see [4].

**Definition 2.12** A subset S of X is called  $\wedge$ -set if it is the intersection of open sets containing S.

**Definition 2.13** Recall that a subset of a topological space  $(X, \tau)$  is called  $\lambda$ -closed set if  $A = S \cap C$  where S is  $\wedge$ -set and C is a closed set.

**Proposition 2.14** Let  $(X, \tau)$  be topological space and A be a nonempty  $\lambda$ -closed  $f$ -invariant set of X. Then A is a  $\lambda$ -type transitive set of  $(X, f)$  if and only if  $(A, f)$  is  $\lambda$ -type transitive.

**Proof:**

$\Rightarrow$ ) Let  $V_1$  and  $U_1$  be two nonempty  $\lambda$ -open subsets of A. For a nonempty  $\lambda$ -open subset  $U_1$  of A, there exists a  $\lambda$ -open set U of X such that  $U_1 = U \cap A$ . Since A is a  $\lambda$ -type transitive set of  $(X, f)$ , there exists  $n \in \mathbb{N}$  such that  $f(V_1) \cap U \neq \emptyset$ . Moreover, A is invariant, i.e.,  $f(A) \subset A$ , which implies that  $f(A) \subset A$ . Therefore,  $f(V_1) \cap A \cap U \neq \emptyset$ , i.e.  $f(V_1) \cap U_1 \neq \emptyset$ . This shows that  $(A, f)$  is  $\lambda$ -type transitive.

$\Leftarrow$ ) Let  $V_1$  be a nonempty  $\lambda$ -open set of A and U be a nonempty  $\lambda$ -open set of X with  $A \cap U \neq \emptyset$ , Since U is an  $\lambda$ -open set of X and  $A \cap U \neq \emptyset$ , it follows that  $U \cap A$  is a nonempty  $\lambda$ -open set of A. Since  $(A, f)$  is topologically  $\lambda$ -type transitive, there exists  $n \in \mathbb{N}$  such that  $f(V_1) \cap (A \cap U) \neq \emptyset$ , which implies that  $f(V_1) \cap U \neq \emptyset$ . This shows that A is a  $\lambda$ -type transitive set of  $(X, f)$ .

**Theorem 2.15** Let X be a non-empty  $\lambda$ -compact Hausdorff space. Then the intersection of a countable collection of  $\lambda$ -open  $\lambda$ -dense subsets of X is  $\lambda$ -dense in X.

**Definition 2.16** Let  $(X, \tau)$  be a topological space. Recall that subset  $A$  of  $X$  is called  $\lambda$ -dense in  $X$  if  $\lambda Cl(A) = X$ .

**Corollary 2.17** A subset  $A$  of a space  $(X, \tau)$  is  $\lambda$ -dense if and only if  $A \cap U \neq \emptyset$  for all  $U \in \tau^\lambda$  other than  $U = \emptyset$ .

**Proof:** If  $A$  is  $\lambda$ -dense set in  $X$ , then by definition,  $\lambda Cl(A) = X$ , and let  $U$  be a non-empty  $\lambda$ -open set in  $X$ . Suppose that  $A \cap U = \emptyset$ . Therefore  $B = U^c$  is  $\lambda$ -closed and  $A \subset U^c = B$ . So,  $\lambda Cl(A) \subset \lambda Cl(B)$ , i.e.  $\lambda Cl(A) \subset B$ , but  $\lambda Cl(A) = X$ , so  $X \subset B$ , this contradicts  $U \neq \emptyset$ .

**Theorem 2.18** Let  $(X, \tau)$  be a non-empty  $\lambda$ -compact Hausdorff space and  $f : X \rightarrow X$  is  $\lambda$ -irresolute map and that  $X$  is  $\lambda$ -type separable. Suppose that  $f$  is topologically  $\lambda$ -type transitive. Then there is an element  $x \in X$  such that the orbit  $O_f(x) = \{x, f(x), f^2(x), \dots, f^n(x), \dots\}$  is  $\lambda$ -dense in  $X$ .

**Proof:** Let  $B = \{U_i\} \ i = 1, 2, 3, \dots$  be a countable basis for the  $\lambda$ -topology of  $X$ . For each  $i$ , let  $O_i = \{x \in X : f^n(x) \in U_i \text{ for some } n \geq 0\}$

Then, clearly  $O_i$  is  $\lambda$ -open and  $\lambda$ -dense. It is  $\lambda$ -open since  $f$  is  $\lambda$ -irresolute, so,  $O_i = \bigcup_{n=1}^{\infty} f^{-n}(U_i)$  is  $\lambda$ -open

and  $\lambda$ -dense since  $f$  is topological  $\lambda$ -transitive map. Further, for every  $\lambda$ -open set  $V$ , there is  $n > 0$ , such that  $f^n(V) \cap U_i \neq \emptyset$ , since  $f$  is  $\lambda$ -transitive.

Now, apply theorem 2.15 to the countable  $\lambda$ -dense set  $\{O_i\}$  to say that  $\bigcap_{i=0}^{\infty} O_i$  is  $\lambda$ -dense and so non-empty. Let

$y \in \bigcap_{i=0}^{\infty} O_i$ . This means that, for each  $i$ , there is a positive

integer  $n$  such that  $f^n(y) \in U_i$  for each  $i$ . By corollary 2.17, this implies that  $O_f(x)$  is  $\lambda$ -dense in  $X$ .

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